



Serial No. 09/928159

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jens Bastue  
Serial No.: 09/928159  
Filed: August 10, 2001  
Confirmation No.: 5649  
Title: ALIGNMENT OF MULTI-CHANNEL DIFFRACTIVE WDM DEVICE

Examiner: Roy M. Punnoose  
Group Art Unit: 2877  
Docket No.: 01485.0015-US-01

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Michèle Read  
Name of Person Signing this Certificate

Michèle Read  
Signature

WRITTEN ASSERTION OF ENTITLEMENT TO SMALL ENTITY STATUS

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant is to be accorded small entity status for the above-referenced application.

Respectfully submitted,

Altera Law Group, LLC  
Customer No. 22865

Date: June 1, 2004

By:

David H. Carfoll  
Reg. No. 29,903  
DHC/mar



PTO/SB/21 (02-04)  
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<b>TRANSMITTAL FORM</b> <small>(to be used for all correspondence after initial filing)</small>	Application Number	09/928,159	
	Filing Date	August 10, 2001	
	First Named Inventor	Jens Bastue	
	Art Unit	2877	
	Examiner Name	Roy M. Punnoose	
Total Number of Pages in This Submission	4	Attorney Docket Number	01485.0015-US-01

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Issue Fee Transmittal (PTOL-85) (in duplicate)</b>
Remarks: Written Assertion of Entitlement to Small Entity Status (1 page)		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	David H. Carroll	
Signature		
Date	June 1, 2004	

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